

Privacy Policy

Austock Securities Limited

AFSL No. 244410 - ABN 51 053 513 438



Austock Securities Limited ("Austock Securities") ABN 51 053 513 438 respects and upholds your rights to privacy protection under the National Privacy Principles contained in the Privacy Act 1988. The National Privacy Principles apply to us from their introduction in late 2001.

This policy describes how we manage any personal information we have about you.

Type of personal information collected

Austock Securities may hold the following information about you:

- a) name, street, telephone number(s);
- b) date of birth and other identification information such as driver's licence information;
- c) e-mail and mail address;
- d) occupation;
- e) transaction details associated with your securities holdings and transactions;
- f) financial institution account details; and
- g) information about your investment objectives, financial situation and needs.

Use of Personal information

We use personal information about you:

- a) to assist in providing our broking and other services to you;
- b) to help us meet our obligations under the law in relation to trading on your behalf and advising you;
- c) to assist with any calls you make to us;
- d) to communicate offers and opportunities to you; and
- e) for our administrative, marketing, planning, product development and research requirements.

At any time you may opt out of receiving any communications from us, other than as required for the operation of our business (for example, regarding payment of your account).

If at any time you provide the personal information of another person to us then you must first ensure that that person has read and understood this statement and separately consented to that personal information being used and disclosed by us for the above purposes.

Disclosure

There are certain people and organisations to whom we disclose your information, depending on the services we provide to you. We may disclose information about you to any of the organisations that we use in the ordinary administration of our business, including providers of clerical services, settlement agents, clearing houses, and credit agencies. We may disclose information about you to the operator of a cash management trust account we arrange for you. Sometimes, if you have a special relationship with an advisor, the advisor may take personal information about you with them to another organisation if the advisor leaves Austock Securities.

Austock Securities has obligations to disclose some information to supervisory organisations such as Australian Stock Exchange Limited and Australian Securities and Investments Commission.

Openness

You may request access to personal information we hold about you by writing to "The Privacy Officer" at the address below. We will endeavour to provide you with a suitable range of choices as to how you access it (eg e-mailing or mailing it to you).

If you believe that personal information we hold about you is incorrect, incomplete or inaccurate, then you may request amendment of it. If we do not agree that there are grounds for amendment, then we will add a note to the personal information stating that you disagree with it.

Any questions about this policy, or any complaint regarding treatment of your privacy by Austock Securities, should also be made in writing to the address below.

Our contact details are as follows:

Austock Securities Limited

Level 12, 15 William Street
Melbourne Victoria 3000

Phone: 03 8601 2000

Fax: 03 9670 1057

E-mail: ahill@austock.com

Miscellaneous

In this policy "personal information" has the same meaning as under the Privacy Act. References to "Austock Securities", "we", "us" and "our" are to Austock Securities Limited ABN 51 053 513 438, which has issued this policy.

This policy represents our policy as at June 2005. We may change this policy from time to time. Although we intend to observe this policy at all times, it is not legally binding on Austock Securities in any way. From time to time we may regard it as necessary or desirable to act outside the policy. Austock Securities may do so, subject only to any statutory rights you have under the Privacy Act or other applicable legislation.

Your consent

By continuing to do business with Austock Securities, you are consenting to Austock Securities collecting, using and disclosing information in accordance with Austock Securities Privacy Policy. A copy of our current policy, or information about the date of its latest revision, can be obtained at any time from Austock Security Privacy Officer.